



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Filed :Masatsugu SHIMIZU
For :January 3, 2002
Serial Number :TRANSCEIVER APPARATUS...
Serial Number :10/037,836

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on July 21, 2006
pursuant to 37 C.F.R. 1.10
by Dexter Chang

July 21, 2006

Director of the U.S. Patent and
Trademark Office
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INFORMATION DISCLOSURE CORRECTION AND
SUBSTITUTE FORM PTO-1449

SIR:

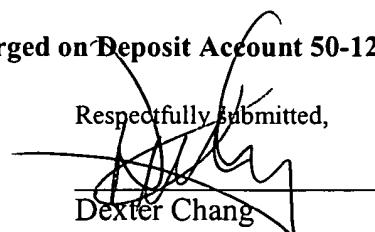
In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of a Substitute PTO-1449 Form. This document is being submitted to replace the 1449 Form submitted in an IDS filed on January 2, 2003. The European Search Report dated December 9, 2002 was inadvertently omitted from the 1449 Form. The enclosed Substitute 1449 Form lists the European Search Report.

Additionally, we enclose a second Substitute PTO-1449 Form to replace the 1449 Form submitted in an IDS filed on March 27, 2003. The European Search Report dated March 3, 2003 was inadvertently omitted from the 1449 form. The enclosed Substitute 1449 Form (marked **SUBSTITUTE 1449/PTO (2)**) lists the European Search Report.

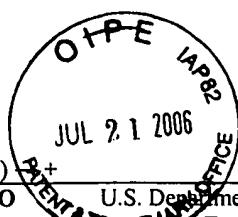
Applicant respectfully requests that the attached 1449 Forms be substituted for the 1449 Forms filed on January 2, 2003 and March 27, 2003.

Any fee due with this paper may be charged on Deposit Account 50-1290.

Respectfully Submitted,


Dexter Chang
Reg. No. 44,071

Customer No.: 026304
DOCKET NO.: FUSA 19.314



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SUBSTITUTE 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

~~U.S. Department of Commerce
Patent and Trademark Office~~

Application No. : 10/037,836

Filing Date :January 3, 2002

First Named Inventor: :Masatsugu SHIMIZU

Group Art Unit

Examiner Name

Attorney Docket No. :FUSA 19.314

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

FOREIGN DOCUMENTS

Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check her if English language translation attached
		European Search Report dated December 9, 2002	
		“WCDMA-THE RADIO INTERFACE FOR FUTURE MOBILE MULTIMEDIA COMMUNICATIONS” By E. Dahlman, et al.; IEEE Transactions on Vehicular Technology, Vol. 47, No. 4, November 1998; pp. 1105-1117 XP-000875424	
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
"Unique citation designation number." See attached Kinds of U.S. Patent Documents.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by appropriate symbols as indicated on the document under WIPO Standard ST.1.⁶ If possible.⁷ Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. DO NOT SES - FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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SUBSTITUTE 1449/PTO (2)		U.S. Patent and Trademark Office	Application No. : 10/037,836
		Filing Date : January 3, 2002	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		First Named Inventor: :Masatsugu SHIMIZU	
<u>Sheet 1 of 1</u>		Group Art Unit :	
		Examiner Name :	
		Attorney Docket No.: USA 19.314	

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		European Search Report dated March 3, 2003	
		DAHLMAN, et al. " UMTS/IMT-2000 BASED ON WIDEBAND CDMA" IEEE COMMUNICATIONS MAGAZINE, VOL. 36, NO. 9, September 1998, pp. 70-80 XP000784828: ISSN:0163-6804	
		ZHANG et al. "A NOVEL TRANSMISSION AND TRANSPORT CHANNEL MULTIPLEXING SCHEME FOR WCDMA" ONLINE! JUNE 1999, TSG RAN WORKING GROUP:XP002229785, http://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_05/Docs/Pdf/r1-99628.pdf Retrieved from Internet on: 11-05-2002	
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
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